

## Lecture 1: Definitions of quantum strategies

### 1 Notation, mathematical preliminaries

$\mathcal{W}, \mathcal{X}, \mathcal{Y}, \mathcal{Z}$	Calligraphic letters denote finite-dimensional complex Euclidean spaces of the form $\mathbb{C}^n$ .
$\mathcal{X}_{1\dots n}$	Shorthand notation for the tensor product $\mathcal{X}_1 \otimes \dots \otimes \mathcal{X}_n$ .
$L(\mathcal{X})$	The (complex) space of all linear operators $A : \mathcal{X} \rightarrow \mathcal{X}$ , implicitly identified with $\mathbb{C}^{n \times n}$ .
$\text{Her}(\mathcal{X})$	The (real) subspace of Hermitian operators within $L(\mathcal{X})$ .
$\text{Pos}(\mathcal{X})$	The cone of positive semidefinite operators within $\text{Her}(\mathcal{X})$ .
$\text{Dens}(\mathcal{X})$	The compact convex set of density operators within $\text{Pos}(\mathcal{X})$ . (An operator $\rho \in \text{Pos}(\mathcal{X})$ is a <i>density operator</i> or <i>quantum state</i> if $\text{Tr}(\rho) = 1$ .)
$A^*$	The adjoint of an operator $A : \mathcal{X} \rightarrow \mathcal{Y}$ , which has the form $A^* : \mathcal{Y} \rightarrow \mathcal{X}$ .
$\langle A, B \rangle$	The standard inner product between two operators $A, B : \mathcal{X} \rightarrow \mathcal{Y}$ . Defined by $\langle A, B \rangle \stackrel{\text{def}}{=} \text{Tr}(A^*B)$ .
$I_{\mathcal{X}}$	The identity operator acting on $\mathcal{X}$ .
$\mathbb{1}_{\mathcal{X}}$	The identity super-operator acting on $L(\mathcal{X})$ .
$E_{i,j} =  i\rangle\langle j $	The matrix whose $(i, j)$ th entry is 1 with all others 0. $\{E_{i,j}\}_{i,j=1}^{\dim(\mathcal{X})}$ is an orthonormal basis for $L(\mathcal{X})$ .

#### States, measurements, channels

A *state* of a quantum system with associated space  $\mathcal{X}$  is an operator  $\rho \in \text{Pos}(\mathcal{X})$  with  $\text{Tr}(\rho) = 1$ .

A *measurement* of a quantum system with associated space  $\mathcal{X}$  is a finite set  $\{P_a\} \subset \text{Pos}(\mathcal{X})$  of operators indexed by outcomes  $a$  with  $\sum_a P_a = I_{\mathcal{X}}$ .

Given a quantum system with associated space  $\mathcal{X}$  in state  $\rho$  and a measurement  $\{P_a\}$  the probability with which outcome  $a$  is observed is  $\langle \rho, P_a \rangle = \text{Tr}(\rho P_a)$ .

Once it has been measured, a quantum system is destroyed.

An operator  $A : \mathcal{X} \rightarrow \mathcal{Y}$  is an *isometry* if  $A^*A = I_{\mathcal{X}}$ .

Clearly,  $A$  can be an isometry iff  $\dim(\mathcal{Y}) \geq \dim(\mathcal{X})$ .

If  $\dim(\mathcal{Y}) = \dim(\mathcal{X})$  then  $A$  is called *unitary*.

A *super-operator* is a linear mapping of the form  $\Phi : L(\mathcal{X}) \rightarrow L(\mathcal{Y})$ .

A super-operator  $\Phi$  is *positive* if  $\Phi(X) \succeq 0$  whenever  $X \succeq 0$ .

$\Phi$  is *completely positive* if  $\Phi \otimes \mathbb{1}_{\mathcal{Z}}$  is positive for every choice of space  $\mathcal{Z}$ .

Any completely positive super-operator  $\Phi$  can be written in *Kraus form*

$$\Phi : X \mapsto \sum_{i=1}^n A_i X A_i^*$$

for some  $n \leq \dim(\mathcal{X} \otimes \mathcal{Y})$  and some choice of  $A_1, \dots, A_n : \mathcal{X} \rightarrow \mathcal{Y}$ .

$\Phi$  is *trace-preserving* if  $\text{Tr}(\Phi(X)) = \text{Tr}(X)$  for all  $X$ .

A *channel* is a completely positive and trace-preserving super-operator.

Each channel can be written in *Stinespring form*, meaning that there exists a space  $\mathcal{Z}$  of dimension no larger than  $\dim(\mathcal{X} \otimes \mathcal{Y})$  and an isometry  $A : \mathcal{X} \rightarrow \mathcal{Y} \otimes \mathcal{Z}$  with  $\Phi : X \mapsto \text{Tr}_{\mathcal{Z}}(AXA^*)$ .

### The operator-vector correspondence

Let  $\text{vec}$  be the unique linear mapping from matrices to vectors given by the following action on standard basis states

$$\text{vec}(E_{i,j}) = \text{vec}(|i\rangle\langle j|) \stackrel{\text{def}}{=} |i\rangle \otimes |j\rangle.$$

Intuitively, the  $\text{vec}$  mapping acting upon a matrix  $A$  transposes each row  $a$  of  $A$  to form a column  $a^\top$  and then stacks all those columns to form a single, large column:

$$\text{vec} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} a \\ b \\ c \\ d \end{pmatrix}$$

Properties:

1.  $\text{vec}(|\phi\rangle\langle\psi|) = |\phi\rangle \otimes |\bar{\psi}\rangle$ .
2.  $(A \otimes B) \text{vec}(X) = \text{vec}(AXB^\top)$  for any  $A, X, B$  for which the product  $AXB^\top$  makes sense.
3.  $(\text{vec}(I_{\mathcal{X}})^* \otimes I_{\mathcal{W} \otimes \mathcal{Y}}) \text{vec}(A \otimes B) = \text{vec}(BA)$  for all  $A : \mathcal{W} \rightarrow \mathcal{X}, B : \mathcal{X} \rightarrow \mathcal{Y}$ .  
For clarity, note that  $\text{vec}(I_{\mathcal{X}}) \in \mathcal{X} \otimes \mathcal{X}$  and  $\text{vec}(A \otimes B) \in \mathcal{X} \otimes \mathcal{W} \otimes \mathcal{Y} \otimes \mathcal{X}$ . The adjoint  $\text{vec}(I_{\mathcal{X}})^*$  is a row vector.
4. Interesting fact:  $\text{vec}(I) = \sum_i |i\rangle|i\rangle$  is an unnormalized maximally entangled state.

### Choi-Jamiołkowski isomorphism

For each super-operator  $\Phi : L(\mathcal{X}) \rightarrow L(\mathcal{Y})$  define  $J(\Phi) \in L(\mathcal{Y} \otimes \mathcal{X})$  by

$$J(\Phi) \stackrel{\text{def}}{=} \sum_{i,j=1}^{\dim(\mathcal{X})} \Phi(E_{i,j}) \otimes E_{i,j}$$

Properties:

1.  $\Phi$  is completely positive  $\iff J(\Phi) \succeq 0$ .
2.  $\Phi : L(\mathcal{X}) \rightarrow L(\mathcal{Y})$  is trace-preserving  $\iff \text{Tr}_{\mathcal{Y}}(J(\Phi)) = I_{\mathcal{X}}$ .
3. Kraus form: if  $\Phi : X \mapsto \sum_i A_i X A_i^*$  then  $J(\Phi) = \sum_i \text{vec}(A_i) \text{vec}(A_i)^*$ .
4. Stinespring form: if  $\Phi : X \mapsto \text{Tr}_{\mathcal{Z}}(A X A^*)$  then  $J(\Phi) = \text{Tr}_{\mathcal{Z}}(\text{vec}(A) \text{vec}(A)^*)$ .
5. The action of  $\Phi : L(\mathcal{X}) \rightarrow L(\mathcal{Y})$  can be recovered from  $J(\Phi)$  via the formula

$$\Phi(\rho) = \text{Tr}_{\mathcal{Y}} \left( (I_{\mathcal{X}} \otimes \rho^{\top}) J(\Phi) \right) = \text{Tr}_{\mathcal{Y}} \left( (I_{\mathcal{X}} \otimes \rho) J(\Phi)^{\top} \right)$$

Here  $\tau_{\mathcal{X}}$  denotes the partial transpose on  $\mathcal{X}$ .

**Example 1** (Choi matrix of states, measurements, trace). A state  $\rho \in \text{Dens}(\mathcal{X})$  can be viewed as a channel  $\rho : \mathbb{C} \rightarrow L(\mathcal{X}) : \alpha \mapsto \alpha \rho$ . Clearly,  $J(\rho) = \rho$ .

For any measurement  $\{P_a\} \subset \text{Pos}(\mathcal{X})$  each operator  $P_a$  can be viewed as a channel  $P_a : L(\mathcal{X}) \rightarrow \mathbb{C} : X \mapsto \langle X, P_a \rangle$ . Given that, it is easy to compute

$$J(P_a) = \sum_{i,j=1}^{\dim(\mathcal{X})} \langle E_{i,j}, P_a \rangle E_{i,j} = P_a^{\top}.$$

For the trace we have

$$J(\text{Tr}) = \sum_{i,j} \underbrace{\text{Tr}(E_{i,j})}_{\delta_{i,j}} \otimes E_{i,j} = \sum_i E_{i,i} = I$$

□

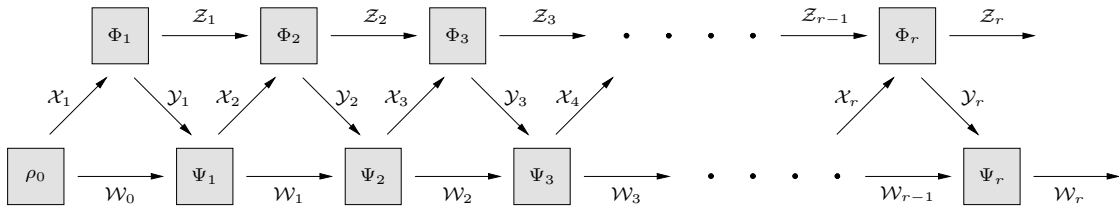
## 2 Operational definition of a strategy

Intuition: a *strategy* is a complete description of one party's actions in a multiple-round (finite) interaction involving the exchange of quantum information with one or more other parties.

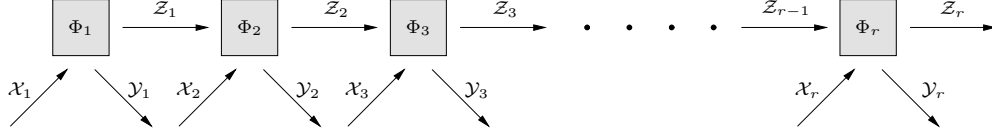
Every interaction decomposes naturally into  $r$  rounds: message comes in, the message is processed, reply is sent out.

Outgoing messages can depend upon messages exchanged in previous rounds  $\implies$  memory.

Example:  $r$ -round two-party interaction:



The strategy for Alice in the above interaction:



To extract classical information from an interaction, a strategy might call for one or more measurements throughout the interaction.

Without loss of generality we may assume that all measurements are simulated by a single measurement on the last memory space.

**Definition 2** (strategy, operational definition (intuitive but useless)). An  $r$ -round non-measuring strategy for an interaction with *input spaces*  $\mathcal{X}_1, \dots, \mathcal{X}_r$  and *output spaces*  $\mathcal{Y}_1, \dots, \mathcal{Y}_r$  consists of:

1. *memory spaces*  $\mathcal{Z}_1, \dots, \mathcal{Z}_r$ , and
2. channels  $\Phi_1, \dots, \Phi_r$  of the form

$$\begin{aligned} \Phi_1 &: L(\mathcal{X}_1) \rightarrow L(\mathcal{Y}_1 \otimes \mathcal{Z}_1) \\ \Phi_i &: L(\mathcal{X}_i \otimes \mathcal{Z}_{i-1}) \rightarrow L(\mathcal{Y}_i \otimes \mathcal{Z}_i) \quad (2 \leq i \leq r). \end{aligned}$$

An  $r$ -round *measuring strategy* with outcomes indexed by  $a$  consists of items 1 and 2 and:

3. a measurement  $\{P_a\}$  on the last memory space  $\mathcal{Z}_r$ .

□

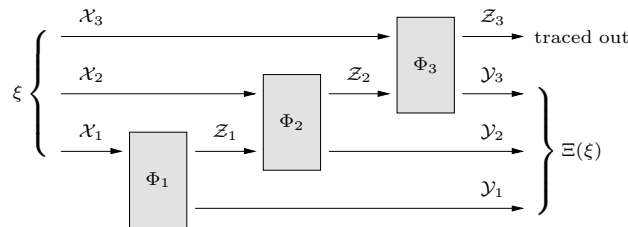
Problems with the operational definition:

1. *No distributive property for probabilistic mixtures.* States and channels are distributive:  
 A system prepared in state  $\rho_i$  w/prob  $p_i$  has  $\rho = p_1\rho_1 + p_2\rho_2$ .  
 A channel that applies  $\Phi_i$  w/prob  $p_i$  has  $\Phi = p_1\Phi_1 + p_2\Phi_2$ .  
 What is the strategy that implements  $(\Phi_1, \dots, \Phi_r)_i$  w/prob  $p_i$ ?
2. *No uniqueness.* Two “different” strategies cannot be physically distinguished by any interacting party.
3. *Non-convex optimization over strategies.* State of final systems  $\mathcal{Z}_r \otimes \mathcal{W}_r$  depends non-linearly on  $\Phi_1, \dots, \Phi_r$ , etc.

**Definition 3** (Non-measuring strategy). Given: an operational non-measuring strategy  $\Phi_1, \dots, \Phi_r$  for input spaces  $\mathcal{X}_1, \dots, \mathcal{X}_r$  and output spaces  $\mathcal{Y}_1, \dots, \mathcal{Y}_r$ . Let

$$\Xi : L(\mathcal{X}_{1\dots r}) \rightarrow L(\mathcal{Y}_{1\dots r})$$

be the channel composed of  $\Phi_1, \dots, \Phi_r$  as follows.



With some abuse of notation, this composition may be expressed succinctly as

$$\Xi = \text{Tr}_{\mathcal{Z}_r} \circ \Phi_r \circ \cdots \circ \Phi_1.$$

(Here a tensor product with the identity super-operator  $\mathbb{1}$  on the appropriate spaces is implicitly inserted where necessary in order for this composition to make sense.)

An operator

$$Q \in \text{Pos}(\mathcal{Y}_{1\dots r} \otimes \mathcal{X}_{1\dots r})$$

is an  $r$ -round non-measuring strategy if  $Q = J(\Xi)$ .  $\square$

**Definition 4** (Measuring strategy). Given an operational measuring strategy  $\Phi_1, \dots, \Phi_r, \{P_a\}$ . For each outcome  $a$  let

$$\Xi_a : \text{L}(\mathcal{X}_{1\dots r}) \rightarrow \text{L}(\mathcal{Y}_{1\dots r})$$

be the channel composed of  $\Phi_1, \dots, \Phi_r, P_a$  as follows.

[\*\*\* Same figure as above except  $P_a$  applied to the memory space before trace-out.  
\*\*\*]

For each measurement outcome  $a$  let

$$\Xi_a = \Gamma_a \circ \Phi_r \circ \cdots \circ \Phi_1$$

where the super-operator  $\Gamma_a$  is given by

$$\Gamma_a : X \mapsto \text{Tr}_{\mathcal{Z}_r} ((P_a \otimes I_{\mathcal{Y}_{1\dots r}}) X).$$

(Compare: for non-measuring strategies we defined  $\Xi$  via the partial trace  $\text{Tr}_{\mathcal{Z}_r}$ . For measuring strategies we define  $\Xi_a$  via  $\Gamma_a$  instead of the partial trace.)

A set of operators

$$\{Q_a\} \subset \text{Pos}(\mathcal{Y}_{1\dots r} \otimes \mathcal{X}_{1\dots r})$$

is an  $r$ -round measuring strategy if each  $Q_a = J(\Xi_a)$ .  $\square$

Convention for  $r = 0$ : a zero-round non-measuring strategy  $Q$  is just the scalar 1. A zero-round measuring strategy is a set  $\{p_a\}$  of positive reals with  $\sum_a p_a = 1$ .

Given  $\Phi_1, \dots, \Phi_r$  we can assume WOLOG that  $\Phi_i : X \mapsto A_i X A_i^*$  for some isometry  $A_i$ . (Absorb extra garbage output into memory space  $\mathcal{Z}_i$ .)

In this case, we sometimes write  $A = A_r \cdots A_1$  for the composition of  $A_1, \dots, A_r$ , so that  $\Xi : X \mapsto \text{Tr}_{\mathcal{Z}_r}(A X A^*)$  and hence  $Q = J(\Xi) = \text{Tr}_{\mathcal{Z}_r}(\text{vec}(A) \text{vec}(A)^*)$ .

For measuring strategies, we have

$$\Xi_a : X \mapsto \text{Tr}_{\mathcal{Z}_r}((P_a \otimes I_{\mathcal{Y}_{1\dots r}}) A X A^*)$$

and hence

$$Q_a = J(\Xi_a) = \text{Tr}_{\mathcal{Z}_r}((P_a \otimes I_{\mathcal{Y}_{1\dots r} \otimes \mathcal{X}_{1\dots r}}) \text{vec}(A) \text{vec}(A)^*) \quad (1)$$